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Julie A Bowen  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ole Isacson et al.

Art Unit: 1632

Serial No.: 09/917,126

Examiner: Not Assigned

Filed: July 27, 2001

Customer No.: 21559

Title: CELL IMPLANTATION THERAPY FOR NEUROLOGICAL  
DISEASES OR DISORDERS

Assistant Commissioner For Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. Under 35 U.S.C. § 120, this application relies on the earlier filing date of application serial number 09/626,677, filed on July 27, 2000. The references cited on the attached form 1449 were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

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Respectfully submitted,

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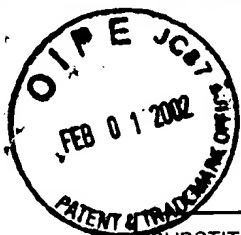
Paul T. Clark  
Reg. No. 30,162

Clark & Elbing LLP  
176 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
Facsimile: 617-428-7045



21559

PATENT TRADEMARK OFFICE

Sheet 1 of 1SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.

04843/080002

Serial No.

09/917,126

Applicant

Isacson et al.

Filing Date

July 27, 2001

Group

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IDS Filed

January 14, 2002

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

(37 C.F.R. §1.98(b))

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U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
	Cazorla et al., "A response element for the homeodomain transcription factor Ptx3 in the tyrosine hydroxylase gene promoter" <i>Journal of Neurochemistry</i> 74:1829-1837 (2000)					
	Deacon et al., "Blastula-stage stem cells can differentiate into dopaminergic and serotonergic neurons after transplantation" <i>Experimental Neurology</i> 149:28-41 (1998)					
	Friedman, "Overcoming the obstacles to gene therapy" <i>Scientific American</i> 96-101 (Jun. 1997)					
	Isacson et al., "Parkinson's disease: interpretations of transplantation study are erroneous" <i>Nature Neuroscience</i> 4:553 (Jun 2001)					
	Jackowski, "Neural injury repair: hope for the future as barriers to effective CNS regeneration become clearer" <i>British Journal of Neurosurgery</i> 9:303-307 (1995)					
	Lee et al., "Efficient generation of midbrain and hindbrain neurons from mouse embryonic stem cells" <i>Nat. Biotechnol.</i> 18:675-679 (2000)					
	Li et al., "Generation of purified neural precursors from embryonic stem cells by lineage selection" <i>Curr. Biol.</i> 8:971-974 (1998)					
	Orkin and Motulsky, "Report and recommendations of the panel to assess the NIH investment in research on gene therapy" (Dec 1995)					
	Piccini et al., "Delayed recovery of movement-related cortical function in Parkinson's disease after striatal dopaminergic grafts" <i>Annals of Neurology</i> 48:689-695 (2000)					
	Piccini et al., "Dopamine release from nigral transplants visualized in vivo in a Parkinson's patient" <i>Nature Neuroscience</i> 2:1137-1140 (1999)					
	Verma and Somia, "Gene therapy -- promises, problems and prospects" <i>Nature</i> 389:239-242 (1997)					

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.	